



Sector 3  
Reissue Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Re Application of:

Applicant: Robert J. Brockway  
Serial No.: 09/599,679  
Filing Date: June 22, 2000  
Title: COMPACTOR WHEEL AXLE  
GUARD SYSTEM

Attorney Docket No.: TER003RA

Group Art Unit: 3612

Examiner:

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF REISSUE APPLICATION**

This paper is being filed in response to the Notice to File Missing Parts of Reissue Application mailed July 18, 2000 and having a reply due date of September 18, 2000. Included with this paper is (1) a Verified Statement Claiming Small Entity Status, (2) a check in the amount of \$620.00, (3) the Inventor's Reissue Oath, (4) Assignee's Statement Under 37 C.F.R. §3.73(b), (5) a copy of the Notice to File Missing Parts, as requested in the Notice, and (6) a return receipt post card identifying each of the preceeding.

Respectfully submitted,

|                          |                  |
|--------------------------|------------------|
| Registration Number      | Telephone Number |
| 35,576                   | 651-575-1056     |
| Date: September 18, 2000 |                  |

|                                  |
|----------------------------------|
| Signature                        |
|                                  |
| Print Name: Harold C. Knecht III |

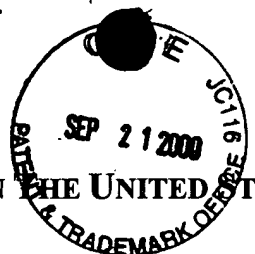
P.O. Box 28338  
St. Paul, MN 55128

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited on the date indicated below with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231

|                          |                                   |
|--------------------------|-----------------------------------|
| Date: September 18, 2000 | Signature<br>Harold C. Knecht III |
|--------------------------|-----------------------------------|

002290-062200



Reissue Patent  
Docket No.: TER003RA

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Robert J. Brockway

Title: COMPACTOR WHEEL AXLE  
GUARD SYSTEM

Original Patent No.: 5,769,507

Original Issue Date: June 23, 1998

CERTIFICATE UNDER 37 C.F.R. §3.73(b)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Terra Compactor Wheel Corporation, hereby certifies that it is the assignee of the entire right, title and interest of the United States Letters Patent No. 5,769,507 by virtue of a previously filed assignment recorded on January 8, 1997 at Reel No. 8302 and Frame No. 0313.

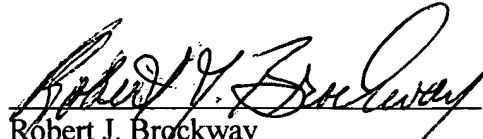
I have reviewed all the documents in the chain of title of the patent identified above and, to the best of my knowledge and belief, title is in the assignee identified above.

I am empowered to sign this certificate on behalf of the assignee.

I hereby declare that all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further, that these statements are made with the knowledge that willful false statements, and the like so made, are punishable by fine or imprisonment, or both, under Section 1001, Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

DATE: 9-6-00

  
Robert J. Brockway  
President

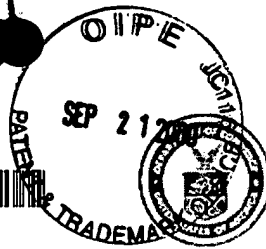
Terra Compactor Wheel Corporation  
3209 South 32<sup>nd</sup> Street  
Sheboygan, WI 53082

002290-062200

## FORMALITIES LETTER



\*OC00000005248833\*

UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark OfficeAddress: COMMISSIONER OF PATENT AND TRADEMARKS  
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/599,679         | 06/22/2000          | Robert J. Brockway    | TER003RA               |

Harold C Knecht III  
P O Box 28338  
St. Paul, MN 55128

Date Mailed: 07/18/2000

## NOTICE TO FILE MISSING PARTS OF REISSUE APPLICATION

*Filing Date Granted*

An application number and filing date have been accorded to this reissue application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$210.
  - \$54 for 3 total claims over 20.
  - \$156 for 2 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- Assignee's statement under 37 CFR 3.73(b) establishing ownership of the patent is missing. 37 CFR 1.172 requires that all assignees consenting to the reissue application establish their ownership interest in the reissue application by filing in the reissue application a statement in accordance with 37 CFR 3.73(b).

Refund Ref: 10/03/2000 DTHOMAS 00000064 09599679

The balance due by applicant is \$ 1030.

CHECK Refund Total: \$105.00

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

10/03/2000 DTHOMAS 00000064 09599679

|           |          |
|-----------|----------|
| 01 FC:209 | 78.00 OP |
| 02 FC:210 | 27.00 OP |
| 03 FC:205 | 65.00 OP |

 09/22/2000 SSESHE1 00000021 09599679  
 01 FC:208 345.00 OP  
 02 FC:209 275.00 OP

 Adjustment date: 10/03/2000 DTHOMAS  
 09/22/2000 SSESHE1 00000021 09599679  
 02 FC:209 275.00 OP